



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

|  |   |                               |   |  |
|--|---|-------------------------------|---|--|
| <b>SERIAL NUMBER</b><br>09/624,732   | <b>FILING DATE</b><br>07/25/2000<br><b>RULE</b> —   | <b>CLASS</b><br>705           | <b>GROUP ART UNIT</b><br>2761   | <b>ATTORNEY DOCKET NO.</b><br>23334.01 |
| <b>APPLICANTS</b><br>Gerard P. Sullivan, Summit, NJ ;<br><br><b>** CONTINUING DATA *****</b><br>THIS APPLN CLAIMS BENEFIT OF 60/181,718 02/11/2000<br><br><b>** FOREIGN APPLICATIONS *****</b>   |   |                               |   |  |
| <b>IF REQUIRED, FOREIGN FILING LICENSE</b><br><b>GRANTED ** 09/12/2000</b>   |   |                               |   |  |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance<br>Verified and Acknowledged <input type="checkbox"/> <u>Examiner's Signature</u> <u>Initials</u> |   | <b>STATE OR COUNTRY</b><br>NJ | <b>SHEETS DRAWING</b><br>12   | <b>TOTAL CLAIMS</b><br>29              |
|  |   |                               |   | <b>INDEPENDENT CLAIMS</b><br>1         |
| <b>ADDRESS</b><br><br>R Lewis Gable<br>Cowan Liebowitz & Latman PC<br>1133 avenue of the Americas<br>New York ,NY 10036-6799   |   |                               |   |  |
| <b>TITLE</b><br>Apparatus and method for creating and managing a financial instrument  |   |                               |   |  |
| <b>FILING FEE RECEIVED</b><br>426  | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                               | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |  |